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1651

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APR 03 2002

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/455,952

TECH CENTER 1600/2900

Filing Date

12/07/1999

First Named Inventor

Michalopoulos et al.

Group Art Unit

1651

Examiner Name

Naff, D.

Attorney Docket Number

A32516 072396.0187

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Declaration of Dr. George K. Michalopoulos and William C. Bowen

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name
BakerBotts LLP
30 Rockefeller Plaza
New York, NY 10112

Signature

Att Name: Carmella L. Stephens
PTO Reg: 41,328

Date

March 26, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 26, 2002

Typed or printed name Carmella L. Stephens

Signature *Carmella L. Stephens*

Date March 26, 2002



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APR 03 2002

BAKER BOTTS LLP

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

| | | |
|-------------------------|------|-----|
| TOTAL AMOUNT OF PAYMENT | (\$) | 460 |
|-------------------------|------|-----|

Complete if Known

TECH CENTER 1600/2900

| | |
|----------------------|----------------------|
| Application Number | 09/455,952 |
| Filing Date | 12/07/1999 |
| First Named Inventor | Michalopoulos et al. |
| Examiner Name | Naff, D. |
| Group Art Unit | 1651 |
| Attorney Docket No. | A32516 072396.0187 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-4377**
Deposit Account Name **Baker Botts LLP**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|---------------------|--------------|------------------------|----------|-----------------|----------|
| 740 | 370 | Utility filing fee | | | |
| 330 | 165 | Design filing fee | | | |
| 510 | 255 | Plant filing fee | | | |
| 740 | 370 | Reissue filing fee | | | |
| 160 | 80 | Provisional filing fee | | | |
| SUBTOTAL (1) | | (\$) | 0 | | |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|----------------------|------------------------------|--|------------------------|
| <input type="text"/> | 20 ** = <input type="text"/> | <input type="text"/> x <input type="text"/> = <input type="text"/> | <input type="text"/> 0 |
| <input type="text"/> | 3 ** = <input type="text"/> | <input type="text"/> x <input type="text"/> = <input type="text"/> | <input type="text"/> 0 |
| Multiple Dependent | | <input type="text"/> = <input type="text"/> | |

| Large Entity | Small Entity | Fee (\$) | Fee (\$) | Fee Description |
|---------------------|--------------|--|----------|-----------------|
| 18 | 9 | Claims in excess of 20 | | |
| 84 | 42 | Independent claims in excess of 3 | | |
| 280 | 140 | Multiple dependent claim, if not paid | | |
| 84 | 42 | ** Reissue independent claims over original patent | | |
| 18 | 9 | ** Reissue claims in excess of 20 and over original patent | | |
| SUBTOTAL (2) | | (\$) | 0 | |

***or number previously paid, if greater; For Reissues, see above*

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------------------|--------------|--|-------------|---------------------|------------|
| 130 | 65 | Surcharge - late filing fee or oath | | | |
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | | | |
| 130 | 130 | Non-English specification | | | |
| 2,520 | 2,520 | For filing a request for ex parte reexamination | | | |
| 920* | 920* | Requesting publication of SIR prior to Examiner action | | | |
| 1,840* | 1,840* | Requesting publication of SIR after Examiner action | | | |
| 110 | 55 | Extension for reply within first month | | | |
| 400 | 200 | Extension for reply within second month | | | |
| 920 | 460 | Extension for reply within third month | | | 460 |
| 1,440 | 720 | Extension for reply within fourth month | | | |
| 1,960 | 980 | Extension for reply within fifth month | | | |
| 320 | 160 | Notice of Appeal | | | |
| 320 | 160 | Filing a brief in support of an appeal | | | |
| 280 | 140 | Request for oral hearing | | | |
| 1,510 | 1,510 | Petition to institute a public use proceeding | | | |
| 110 | 55 | Petition to revive - unavoidable | | | |
| 1,280 | 640 | Petition to revive - unintentional | | | |
| 1,280 | 640 | Utility issue fee (or reissue) | | | |
| 460 | 230 | Design issue fee | | | |
| 620 | 310 | Plant issue fee | | | |
| 130 | 130 | Petitions to the Commissioner | | | |
| 50 | 50 | Processing fee under 37 CFR 1.17(q) | | | |
| 180 | 180 | Submission of Information Disclosure Stmt | | | |
| 40 | 40 | Recording each patent assignment per property (times number of properties) | | | |
| 740 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 740 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 740 | 370 | Request for Continued Examination (RCE) | | | |
| 900 | 900 | Request for expedited examination of a design application | | | |
| Other fee (specify) _____ | | | | | |
| | | | | SUBTOTAL (3) | |
| *Reduced by Basic Filing Fee Paid | | | (\$) | 460 | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

| | | | | |
|-------------------|-----------------------------|--------------------------------------|----------------|--------------------------|
| SUBMITTED BY | | Complete (if applicable) | | |
| Name (Print/Type) | Carmella L. Stephens | Registration No. (Attorney/Agent) | 41,328 | Telephone (212) 408-2539 |
| Signature | <i>Carmella L. Stephens</i> | Date | March 26, 2002 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

BAKER BOTTS LLP

Attorney Docket Number: A32516 072396.0187

Title:

A NOVEL LONG-TERM THREE-DIMENSIONAL TISSUE CULTURE SYSTEM

Use Space Below for Additional Information: